SAFEGUARDING & COMPLEMENTARY PROTECTIVE MEASURES



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RISK REDUCTION ISO 12100:2010





SAFEGUARDING AGAINST HAZARDS SEPARATE PERSONS FROM DANGER BY MEANS OF SPACE OR TIME





PULLBACK MECHANISM DEFLECTING MEASURE





POSITION FIXING PROTECTIVE DEVICES PROTECTION OF A SINGLE PERSON





PROTECTIVE DEVICES TYPICAL EXAMPLES





Interlocking of movable guards

- Doors
- Gates
- Traps
- Barriers
- ...



Electro-sensitive protective equipment

- Light curtains
- Through beam photo-cells
- Laser scanners
- Vision based protective equipment
- ...



- SPE may be useable where frequent access is required
- SPE can **not** be used for protection against ...
 - parts being ejected from the machine
 - substances being ejected like coolant, cutting oil etc.
 - noise, radiation
 - ► fumes, hazardous substances
 - hazards with unsuitable stopping times



COMBINATION OF DIFFERENT GUARDS OR OF GUARDS WITH OTHER DEVICES



Key

- 1 Active opto-electronic protective device (AOPD)
- 2 Interlocking guard
- 3 Electrical cabinet
- 4 Internal fence allowing only zoned access
- 5 Pressure sensitive mat
- 6 Two-hand control device
- 7 Reset device
- 8 Distance guard







FIXED (PHYSICAL) GUARDS REQUIREMENTS FOR GUARDS





- General requirements for the design, construction and selection of fixed and movable guards
 ISO 14120:2013
- Safety distances to prevent hazard zones being reached by upper and lower limbs ISO 13857:2018
- a height of the hazard zone
- b height of the guard
- C horizontal distance

DISTANCING GUARDS REACHING OVER/THROUGH/UNDER PROTECTIVE STRUCTURES





REACHING OVER GUARDS TABLE 2 (HIGH RISK), ISO 13857



High risk:



height requirements for guards

of the hazardou	Horizontal distance c to the hazardous zone									
2.700	0	0	0	0	0	0	0	0	0	0
2.600	900	800	700	600	600	500	400	300	100	0
2.400	1.100	1.000	900	800	700	600	400	300	100	0
2.200	1.300	1.200	1.000	900	800	600	400	300	0	0
2.000	1.400	1.300	1.100	900	800	600	400	0	0	0
1.800	1.500	1.400	1.100	900	800	600	0	0	0	0
1.600	1.500	1.400	1.100	900	800	500	0	0	0	0
1.400	1.500	1.400	1.100	900	800	0	0	0	0	0
1.200	1.500	1.400	1.100	900	700	0	0	0	0	0
1.000	1.500	1.400	1.000	800	0	0	0	0	0	0
800	1.500	1.300	900	600	0	0	0	0	0	0
600	1.400	1.300	800	0	0	0	0	0	0	0
400	1.400	1.200	400	0	0	0	0	0	0	0
200	1.200	900	0	0	0	0	0	0	0	0
0	1.100	500	0	0	0	0	0	0	0	0
Distances	Hight b of the guard									
in mm	1.000	1.200	1.400	1.600	1.800	2.000	2.200	2.400	2.500	2.700



	a h l	h	
h ≤ 200	l ≥ 340	I ≥ 665	I ≥ 290
200 < h ≤ 400	l ≥ 550	l ≥ 765	l ≥ 615
400 < h ≤ 600	l <u>≥</u> 850	I ≥ 950	I <u>≥</u> 800
600 < h ≤ 800	l <u>≥</u> 950	l ≥ 950	I ≥ 900
800 < h ≤ 1000	l ≥ 1125	l ≥ 1195	I ≥ 1015

NOTE: Slot openings with *h* > 180mm will allow access for the whole body



Part of the body		One place of the part		Calab: distance (mm)		
		opening e (mm)		Salety distance (mm)		
			Slot	Square	Circle	
PT- Do atto	The second secon	e ≤ 4	≥ 2	≥2	≥ 2	
Fingertip	377	4 < e ≤ 6	≥ 10	2.5	≥ 5	
		6 < e ≤ 8	≥ 20	≥ 15	≥ 5	
		8 < e ≤ 10	≥ 80	≥ 25	≥ 20	
		10 < e ≤ 12	≥ 100	≥ 80	≥ 80	
		12 ≤ e ≤ 20	≥ 120	≥ 120	≥ 120	
		20 < e ≤ 30	≥ 850	≥ 120	≥ 120	
		30 < e ≤ 40	≥ 850	≥ 200	≥ 120	
Arm up to shoulder	M	40 < e ≤ 120	≥ 850	≥ 850	≥ 850	

e.g., Mesh, aperture 20 x 20 mm:





INTERLOCKING OF GUARDS ISO 14119:2013





POSITION SWITCHES TERMS AND DEFINITIONS





Direct mechanical action

movement of a mechanical component that arises inevitably from the movement of another mechanical component either by direct contact or via rigid elements (ISO 14119:2013 – Definition 3.10)



IEC 60947-5-1 Annex K

Direct opening action

achievement of contact separation as a direct result of a specified movement of the switch actuator through non-resilient members (for example not dependent upon springs) (ISO 14119:2013 – Definition 3.10 ~ IEC 60947-5-1:2003, K 2.2.)

MINIMIZE DEFEAT POSSIBILITIES PHYSICAL OBSTRUCTION OR SHIELDING







POSITION SWITCHES MECHANICAL ATTACHMENT





been matched to the position switch.

DETECTION OF MECHANICAL FAULTS PREVENTION OF COMMON CAUSE FAILURES





INTERLOCKING DEVICES OPERATING PRINCIPLES



Designation	Actuation			Actuator	SICK product	
	Principle	Example	Principle	Examples	Exan	nple
Type 1	Mechanical	Physical contact, force, pressure	Not coded	Switching cam	i10P	Ô
				Turning lever	i10R	Ć
				Hinge	i10H	1
Type 2			Coded	Shaped actuator (switching rod)	i16S	-
				Кеу	-	
	Electro-sensitive	Inductive	Not coded	Suitable ferromagnetic materials	IN4000	Ý
		Magnetic		Magnets, electromagnets	MM12 1)	1
Туре З		Capacitive		All suitable materials	CM18 ¹⁾	60
Туре 4		Ultrasonic		All suitable materials	UM12 ¹⁾	No.
		Optical		All suitable materials	WT 12 ¹⁾	J
		Magnetic		Coded magnet	RE11	12 %
		RFID	Coded	Coded RFID transponder	TR4 Direct	
		Optical		Coded optical actuator	12	











- 1 Position switch
- 2 Assured switch-on distance S_{ao}
- 3 Rated sensing range S_n (switch-on distance under laboratory conditions)
- 4 Assured switch off distance Sar
- 5 Actuating element

SERIAL CONNECTION OF POTENTIAL FREE CONTACTS PART 1 / 2





Normal Status

Machine operation

Fault Occurrence

Cable damage leads to short circuit with 24 VDC and the loss of one channel

Activation

Activating the interlock leads to the switching off of the safety relay

Lockout

Signal change detected only on one input. Safety relay goes into lockout

SERIAL CONNECTION OF POTENTIAL FREE CONTACTS PART 2 / 2





Service problem

The machine cannot be started due to the locked status of the safety device

Troubleshooting

During fault searching the operator opens other doors

Operator action

After closing the door the fault can be reset at the interface (input requirements are satisfied)

Danger

Although a channel is faulty, the safety relay allows a reset! A second failure in the cable (now very likely) leads to the loss of the safety function



Number of frequently used movable guards ^{a)} ^{b)}		Number of additional movable guards ^{c)}	Maximum achievable DC ^{d)}				
0	+	2 to 4	Medium				
		5 to 30	Low				
		> 30	None				
1	+	1	Medium				
		2 to 4	Low				
		<u>></u> 5	None				
>1	+	<u>></u> 0	None				
a) If the frequency is higher than once per hour.							
b) If the number of operate	 b) If the number of operators capable of opening separate guards exceeds one then 						
the number frequently u	used i	moveable guards shall b	e increased by one.				
 c) The number of additional movable guards my be reduced by one if one of the following conditions are met: When the m inimum distance between any of the guards is more than 5 m or When none of the additional movable guards is directly reachable 							

d) In any case, if it is foreseeable that fault masking will occur (e.g., multiple movable guards will be open at the same time as part of normal operation or srevice), then the DC is limited to none.

POSITIONING OF SAFEGUARDS ISO 13855:2010





INTERLOCKING DEVICES ISO 14119:2013





Guard interlocking

- Initiation of machine functions shall not be possible as long the guard is not closed (or in place)
- a stop command is given if the guard is opened while hazardous machine functions are operating



Guard interlocking with guard locking

- Initiation of machine functions shall not be possible as long the guard is not closed (or in place) & locked
- the guard remains closed and locked until the risk due to the hazardous machine functions "covered" by the guard has disappeared



GUARD INTERLOCKING WITH GUARD LOCKING ISO 14119:2013

INTERLOCKING GUARD WITH GUARD LOCKING DOOR CLOSED AND LOCKED





INTERLOCKING GUARD WITH GUARD LOCKING DOOR CLOSED AND UNLOCKED





INTERLOCKING GUARD WITH GUARD LOCKING DOOR OPEN AND UNLOCKED







Direction	of force	Position Application of for		Force (N)
	Horizontal, parallel to body symmetry plane, backward, pull	Standing upright, feet parallel or in step posture	Bi-manual, vertical grips	1100
	Horizontal, normal to body symmetry plane	Standing, feet parallel	Single-handed, vertical grips	700



1.	Define the function	Covering / Distancing	(ISO 14120)
2.	Select type	Fixed or removable/movable	(ISO 12100)
3.	Select	Proper materials & Construction design considering required retention capabilities	(ISO 14120)
4.	Select interlocking	without / with interlocking considering systematic aspects of related co	(ISO 12100) omponents
5.	Select	without / with guard locking	(ISO 13855)
6.	Determine	Dimensions & safety distance considering openings (e.g. mash width) height of hazard heights of lower / upper edge of the guard	(ISO 13857) I zone and
	or	Minimum distance considering openings (e.g. mash width) heig zone, heights of lower / upper edge, overall s	(ISO 13855) ght of hazard stopping time





ELECTRO SENSITIVE PROTECTIVE EQUIPMENT ESPE

ELECTRO-SENSITIVE PROTECTIVE EQUIPMENT AOPD, AOPDDR, VBPD, ...




WHAT SHOULD ESPE DETECT SAFEGUARDING TYPE & DETECTION CAPABILITY





Point-of-operation protection

Finger or hand detection



Hazardous area protection

Detection of the presence of a person in the hazardous area



Access protection (perimeter guarding)

 Detection of a person on access to the hazardous area

POSITIONING OF AOPD MOUNTING





DETECTION CAPABILITY ACC. TO EFFECTIVE BEAM SECTION (ONLY FOR PARALLEL BEAMS)





Object detection
 Undefined object detection
 Reliable object detection

test piece covers one beam section completely test piece covers only parts of the beam sections test piece covers two beam sections completely

May 2022



[mm]



S = K x T + 8 x (d-14mm)

- S = Minimum / Safety distance
- K = Approach speed [2000 mm/s] for S \leq 500mm
- K = Approach speed [1600 mm/s] for S > 500mm
- T = Response / stopping time [s]
- C = Additional distance [8 x (d-14mm)]
- d = Detection capability [mm]
- S always ≥ 100mm
- The formula is only valid for d ≤ 40mm & adults !
- Formula applies for any approach directions orthogonal to the detection plane [ß > 30°]

POSITIONING OF SAFEGUARDS ISO 13855:2010





CRT = Resolution

ISO 13855 – MINIMUM DISTANCES POINT OF OPERATION SAFEGUARDING – INTRUSION COEFFICIENT "C"



why C = 8 x (d-14mm) ... ?



ISO 13855 – MINIMUM DISTANCES PERIMETER SAFEGUARDING – ACCESS PROTECTION





S = K x T + C

- S = Minimum / Safety distance [mm]
- K = Approach speed [1600 mm/s]
- T = Response / stopping time [s]
- C = Additional distance = 850 mm if reaching over the ESPE is not possible
- C = Additional distance see Table 1 if reaching over the ESPE is possible
- C not less than 850 mm (length of the human arm)
- The formula is valid for devices with d > 40mm !
- Formula applies for any approach directions orthogonal to the detection plane [ß > 30°]



C distance that a part of the body (usually a hand) can move past the safeguard towards the hazard zone prior to actuation of the safeguard





If it is possible to access hazardous areas by reaching over the ESPE, then the height "b" of the top edge of the detection field shall be selected according to the table so that :

 $\mathbf{C} \geq \mathbf{C}_{\mathsf{RO}} \geq \mathbf{C}_{\mathsf{RT}}$

 C_{RO} = Intrusion factor due to reaching over the detection field, C_{RT} = Intrusion factor due to reaching through the detection field

Height a of the hazard zone (mm)	Additional horizontal distance C to the hazard zone (mm) © SICK												
2600	0	0	0	0	0	0	0	0	0	0	0	0	
2500	400	400	350	300	300	300	300	300	250	150	100	0	
2400	550	550	550	500	450	450	400	400	300	250	100	0	
2200	800	750	750	700	650	650	600	550	400	250	0	0	
2000	950	950	850	850	800	750	700	550	400	0	0	0	
1800	1100	1100	950	950	850	800	750	550	0	0	0	0	
1600	1150	1150	1100	1000	900	850	750	450	S C _{R0} K×T Hazar			azard zone	
1400	1200	1200	1100	1000	900	850	650	0					
1200	1200	1200	1100	1000	850	800	0	0	(
1000	1200	1150	1050	950	750	700	0	0					
800	1150	1050	950	800	500	450	0	0					
600	1050	950	750	550	0	0	0	0					
400	900	700	0	0	0	0	0	0					
200	600	0	0	0	0	0	0	0					
0	0	0	0	0	0	0	0	0					
	Height b of the top edge of the protective field (mm)												
	900	1000	1100	1200	1300	1400	1600	1800	2000	2200	2400	2600	

ISO 13855 – MINIMUM DISTANCES HAZARDOUS AREA PROTECTION





S = K x T + (1200- 0,4 x H)

- S = Minimum / Safety distance [mm]
- K = Approach speed [1600 mm/s]
- T = Response / stopping time [s]
- C = Additional distance [1200mm 0,4H]
- H = Height of the edge of the detection zone
 furthest to the hazard zone but not less than 0
 and not higher then 1000 mm
- d Detection capability $[d \le (H/15) + 50mm]$
- C not less than 850 mm (length of the human arm)
- The formula is only valid for devices with d ≤ 117mm !
- The formula applies for any approach directions parallel to the detection plane $[\beta \le 30^\circ]$

MAIN DIFFERENCE OF TYPE 2/4 ACC. TO IEC 61496-1:2013



	Туре 2	Туре 4		
Functional safety	Between the test intervals, the protective function may be lost during the occurrence of a failure.	The protective function is retained even during several failures.		
EMC (electromagnetic compatibility)	Basic requirements	Increased requirements		
Maximum field of view of the optics	10°	5°		
Minimum distance a to reflective surfaces over a distance of D < 3 m	262 mm Reflecti Field of view Minimum distance a	131 mm ve surface		
Minimum distance a to reflective surfaces over a distance of D > 3 m	= distance x tan $(10^{\circ} / 2)$	sender-receiver = distance x tan (5° / 2)		
Several senders of the same design in a system	No special requirements (Beam coding is recommended)	No effect; however, if affected, OSSDs switch off.		





SAFETY LASER SCANNER (AOPDDR) OPTICAL SCHEME





SAFETY LASER SCANNER (AOPDDR) FUNCTIONAL PRINCIPLE – TIME OF FLIGHT MEASUREMENT





SAFETY LASER SCANNER (AOPDDR) PROTECTIVE/WARNING FIELDS





VERTICAL OPERATION CONTOUR AS REFERENCE





Floor, frame or similar

SAFETY LASER SCANNER (AOPDDR) DETECTION CAPABILITY AND RELATION TO OBJECT REFLECTANCE





100% reference based on Kodak white material

SYSTEMATIC ASPECTS – LOSS OF EFFECTIVENESS BEAM DEFLECTION DUE TO NEAR REFLECTIVE SURFACES



- Reflective surfaces present within the transmitting / receiving beam path, or deposited or attached there, can cause reflections and thus lead to a hindrance not being detected.
- For this reason a minimum distance "a" must be maintained between reflective objects and the optical axis (the straight-line between sender and receiver).
- The distance "a" depends on the distance between sender and receiver and the effective aperture angle α
- The effective aperture angle α = ± 2,5° for Type 4 devices and α = ± 5° for Type 2 devices







SYSTEMATIC ASPECTS – LOSS OF EFFECTIVENESS MUTUAL INTERFERENCES





ALLOWING MATERIAL PASSAGE SUITABLE SOLUTIONS











MUTING FUNCTION REQUIREMENTS





- During muting, a safe state must be ensured by other means, therefore it shall not be possible to access the hazard zone
- Muting shall be automatic, i.e. not manual
- Muting shall not be dependent on a single electrical signal
- Muting shall not be entirely dependent on software signals
- An invalid combination or sequence of muting signals shall not allow any muting state, and it shall be ensured that the protective function is retained
- The muting status shall end immediately after the material has passed through

POSITIONING OF MUTING SENSORS APPLICATION EXAMPLE WITH FOUR THROUGH BEAM PHOTO CELLS









POSITIONING OF THE MUTING SENSORS APPLICATION EXAMPLE WITH TWO REFLEX PHOTO CELLS





 $d5 \le 200 \text{ mm} \& \text{ if possible} \sim 0 \text{mm}$

ADDITIONAL SWINGING DOORS PREVENTION OF CRUSHING OR SHEARING RISKS







Fixed b	lanking	Floating blanking				
Fixed blanking	Fixed blanking with increased size tolerance	Floating blanking with complete object monitoring	Floating blanking with partial object monitoring			
An object of <i>fixed</i> size <i>must</i> be at a specific point in the protective field.	From the operator side, an object of <i>lim- ited</i> size <i>is allowed</i> <i>to</i> move through the protective field.	An object of fixed size <i>must</i> be within a specific area of the protective field. The object is allowed to move.	An object of fixed size <i>is allowed</i> in a specific area in the protective field. The object is allowed to move.			

FLOATING BLANKING APPLICATION







[H ≤ 1.500 mm]

O. Görnemann & R. Schumacher | IVSS Detroit | © SICK AG

OBJECT PATTERN RECOGNITION SELF-TEACH DYNAMIC BLANKING





VERTICAL SAFEGUARDING MUTING ALTERNATIVE











The detection of children with body weights less than 20 kg is not addressed in the product standards

for pressure-sensitive mats and floors





- Note: SX stands for
- SM = Safety Mat
- SL = Safety Edge
- SB = Safety Bumper

2-wire-technology



MAYSER®





Contact open



POSITIONING OF PRESSURE-SENSITIVE MATS IF NO TYPE-C STANDARD EXISTS





 $S = K \times T + (1.200 \text{ mm} - 0.4 \cdot \text{h})$ S > 750 mm where K = 1.600 mm/s The following basic principles apply:

- It shall be ensured that both hands are used
- Releasing one of the two control actuating devices shall stop the dangerous movement
- Inadvertent actuation shall be prevented
- It shall not be possible to easily defeat the device
- It shall not be possible to take two-hand controls into the hazard zone





TWO-HAND CONTROL DEVICE CLAUSE 5, ISO 13851:2019





Key

- 1 Input signal
- 2 two-hand control device
- 3 Control actualting device
- 4 Signal converter(s)
- 5 Signal processor(s)
- 6 Output signal
- 7 Logic unit





	Туре						
Requirements	I	11	III				
			A	В	С		
Use of both hands (simultaneous actuation)		•					
Relationship between input signals and output signal		•	•	•			
Cessation of the output signal		•	•				
Revention of accidental operation		•		•			
Prevention of defeat		•		•			
Re-initiation of the output signal	0	•		•	•		
Synchronous actuation					•		
Use of category 1 (see ISO 13849-1)							
Use of category 3 (see ISO 13849-1)				•			
Use of category 4 (see ISO 13849-1)					•		
MINIMUM DISTANCES FOR TWO-HAND CONTROLS







 $S = K \times T + C$ C = 250 mmwhere K = 1.600 mm/s

C = 0 mm if control actuators are shrouded



Where, for setting, teaching, process changeover, fault-finding, cleaning or maintenance of machinery, a guard has to be displaced or removed and/or a protective device has to be disabled [...], the safety of the operator shall be achieved using a specific control mode which **simultaneously**:

- a) disables all other control modes,
- b) permits operation of the hazardous elements only by continuous actuation of an enabling device, a two-hand control device or a hold-to-run control device,
- c) permits operation of the hazardous elements only in **reduced risk conditions** (for example, reduced speed, reduced power/force, step-by-step, for example, with a limited movement control device), **and**
- d) prevents any operation of hazardous functions by voluntary or involuntary action on the machine's sensors

ENABLING CONTROL FUNCTION CLAUSE 9.2.3.9, IEC 60204-1:2020

- Manually activated control function interlock
- When activated allows a machine operation to be initiated by a separate start control
- When de-activated initiates a stop function and prevents initiation of machine operation
- It shall not be possible to defeat the enabling function by simple means





THREE-POSITION ENABLING DEVICE FIGURE C.1, ISO 10218-1:2011





EMERGENCY OPERATIONS CLAUSE 9.2.5.4 IEC 60204-1:2006





Emergency stop

- : Stopping hazardous motion as quickly as possible
- : Stop category 0, 1
- : Often by mushroom-type pushbuttons
- : Handles, wires, ropes, bars may be used



Emergency switching off

- : Switching off electrical energy (e.g. direct contact)
- : Stop category 0
- : Often by main switches
- : Pushbuttons, wires, ropes, bars may be used
- : Switching off the incoming supply electromechanically **only**



 Machinery must be fitted with one or more emergency stop devices to enable actual or impending danger to be averted.

- The emergency stop function must be available and operational at all times, regardless of the operating mode.
- Emergency stop devices must be a back-up to other safeguarding measures and not a substitute for them.

EMERGENCY STOP DEVICE CLAUSE 4, ISO 13850:2006





- The emergency stop device shall be designed to be easily actuated by the operator and others who could need to actuate it.
- An emergency stop device shall be located at each operator control station [...]
- It shall be positioned such that it is readily accessible [...]
- Measures against inadvertent actuation should not impair its accessibility.
- The actuator of the emergency stop device shall be colored RED. [...] the background shall be colored YELLOW.

EMERGENCY STOP FUNCTIONS ON PORTABLE OPERATOR CONTROL STATIONS





 Confusion between active and inactive emergency stop devices shall be avoided by means that can include appropriate design and information for use.

For example, if a charging station for the portable operator control station is provided near a machine, and the emergency stop function is inactive when it is placed in the charging station, the emergency stop device should be made inaccessible.

TECHNOLOGY OF SAFEGUARDING SEPARATE FROM DANGER BY MEANS OF SPACE OR TIME







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